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PATENT

Docket No.: 19226/950 (R-555%)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Ren et al.

Serial No.

09/336,126

Filed

June 18, 1999

For

FREE-STANDING AND ALIGNED CARBON

NANOTUBES AND SYNTHESIS THEREOF

Examiner: Unknown

Art Unit: 1754

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97-1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In compliance with 37 C.F.R. §§ 1.97 and 1.98, applicants hereby bring to the attention of the U.S. Patent and Trademark Office the references listed on the attached PTO-1449 form. The pertinence of these references is indicated in the International Search Report (copy enclosed) for the corresponding PCT application.

Pursuant to 37 C.F.R. § 1.97(b), no fee is required. If additional fees are required, however, the Commissioner is hereby authorized to charge any fees to Deposit Account No. 14-1138.

Respectfully submitted,

Date: December 0, 1999

Edwin V. Merkel Registration No. 40,087

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I heraby certify that this correspondence is being temosited with the United States Postal Service as sits of as mail in an envelope audressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below.